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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/776,035

02/09/2004

Carl J. Pacifico

1001-13 RES

**CONFIRMATION NO. 8411** 

23869 HOFFMANN & BARON, LLP 6900 JERICHO TURNPIKE SYOSSET, NY 11791

JUN - 9 2004

**FORMALITIES LETTER** 

\*OC000000012842282\*

Date Mailed: 06/02/2004

#### NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

### Filing Date Granted

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$402 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1302 for a Large Entity, 08/05/2004 FFANAIA2 00000056 10776035

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$402
  - \$258 for 3 independent claims over the original patent.

08/06/2004 FFANAIA2 00000001 10776035

02 FC:2051 03 FC:2204

65.00 OP 129.00 OP 72.00 OP ■ \$144 for 8 total claims over the higher of 20, or the amount in the original patent.

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

**PATENT** 

# IN THE JUNE ED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Pacifico, et al.

Examiner:

Unassigned

Serial No.:

10/776,035

Group Art Unit:

1711

Confirmation No.:

8411

Docket:

1001-13 RES/DIV

Filed:

February 9, 2004

Dated:

August 2, 2004

For:

Sensitive Substance

Encapsulation

**Mail Stop Missing Parts** 

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA

22313 on August 2, 2004

Signature:

## **RESPONSE TO FORMALITIES LETTER**

Sir:

In response to the Formalities Letter, mailed on June 2, 2004, enclosed herewith are the following:

- 1. Copy of Formalities Letter.
- 2. FEES: \$

385.00

Basic Filing Fee

\$ 65.00

00 Surcharge

\$ 201.00

Additional Claims

\$ 651.00

Total

Applicants claim small entity status. Accordingly, a check in the amount of \$651.00 is enclosed.

3. There are three inventors in this application, Carl J. Pacifico, Wen-Hsin Wu and Marta Fraley. Copies of the Combined Declarations and Powers of Attorney, executed by Carl J. Pacifico and Wen-Hsin Wu, which were filed in the parent case (Application Serial No.: 09/996,636), are enclosed.

Applicant(s): Pacifico, et al. Serial No.: 10/776,035 Filed: February 9, 2004

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Marta Fraley did not execute a Combined Declaration and Power of Attorney.

Accordingly, a Petition under 37 CFR 1.47(a) was filed and granted in the parent case. A copy of the Petition under 37 C.F.R. 1.47(a) and a copy of the Decision according Status under 37 CFR 1.47(a) granting Applicants' Petition are enclosed. (Please note, in the Petition it is stated that Wen-Hsin Wu did not execute the Declaration/Power of Attorney. However, Wen-Hsin Wu later decided to do so.)

If any additional fees are due or an overpayment has been made, please charge or credit Deposit Account No. 08-2461, accordingly. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

Susan A. Sipos, Esq.

Attorney for Applicant

Registration No. 43,128

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